

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
PF-255400/02/00  
U.S. PTOJC542 U.S. PTO  
09/528711  
03/20/00

03/20/00

**T the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Gaku HARADA, Toshihiko NISHIYAMA, Masaki FUJIWARA, Shinako OKADA and Masato KUROSAKI corresponding to Japanese application No. 11-076573, filed 19 March 1999, entitled: ELECTRODE USING IMPROVED ACTIVE MATERIAL FOR BATTERY AND CAPACITOR

Enclosed are:

- |   |  |
|---|--|
| X | 32 pages of specification.   |
| X | five sheets of formal drawings.  |
| X | a newly-executed declaration of the inventors.   |
|   | a copy of an executed declaration of the inventor from prior application Serial No. , filed .  |
|   | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| X | an assignment of the invention to NEC Corporation, including assignment cover sheet.   |
|   | Information Disclosure Statement with Form PTO-1449.   |
|   | copies of the Information Disclosure Statement citations.  |
|   | preliminary amendment.   |
| X | return receipt postcard (MPEP 503), specifically itemized.   |
|   | a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.   |
|   | a verified statement to establish small entity status filed in prior application. Status is still proper and desired.  |
|   | a certified copy of the Priority Document.   |
| X | other: Data Entry Sheet.   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. , filed .

	X	Customer No. 000466.
	X	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
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**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
 (continued)

Docket No.  
 PF-2554

**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 690	\$ 690
TOTAL CLAIMS	18 - 20 =	0	x\$ 18	
INDEPENDENT CLAIMS	0 - 3 =	0	x\$ 78	
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 260	
			<b>TOTAL</b>	<b>\$690</b>
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.		<b>SMALL ENTITY TOTAL</b>		\$

X	A check in the amount of \$730 to cover the filing fee is enclosed.
X	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
	Charge the amount of \$ as filing fee.
X	Credit any overpayment.
X	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

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March 20, 2000